

RACE ETHNICITY FACTS

Data

Collecting Race and Ethnicity Data Using the New Standards

The federal standards for the collection and reporting of race/ethnicity data have changed in order to reflect the increasing diversity of our nation's population and allow individuals to more accurately report their origins.

New Definitions

Ethnicity: A code to indicate whether the child is of Hispanic or Latino ethnicity as per the description below. A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. The term "Spanish origin" can be used in addition to "Hispanic or Latino."

Race: American Indian or Alaska Native: A code to indicate whether the child is an American Indian or Alaska Native as per the description below. A person having origins in any of the original peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment.

Race: Asian: A code to indicate whether the child is Asian as per the description below. A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

Race: Black or African American: A code to indicate whether the child is Black or African American as per the description below. A person having origins in any of the black racial groups of Africa.

Race: Native Hawaiian or Other Pacific Islander: A code to indicate whether the child is a Native Hawaiian or Other Pacific Islander as per the description below. A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

Race: White: A code to indicate whether the child is White as per the description below. A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

Training Tool

May 2010

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Two-Question Format

Local Early Steps are required to use a two-question format to collect data on race and ethnicity. The first question asks whether or not the child is Hispanic/Latino. The second question allows parents/caregivers to select one or more races for their child from the following five racial groups:

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or other Pacific Islander
- White

New Referrals

On June 15th, 2010 the new codes will be available for use. Beginning on this date, race/ethnicity information in accordance with the new Federal standards should be collected on all new referrals.

Re-Identification

Local Early Steps must allow all parents/caregivers to re-identify their child's ethnicity and race beginning with the 2010-11 fiscal year for any children previously enrolled. Re-identification will allow all parents/caregivers the opportunity to select more than one race and to report both their child's ethnicity and race separately. This will also allow all parents/caregivers who previously identified their child as within the Asian or Pacific Islander category the opportunity to select either "Asian" or "Native Hawaiian or Other Pacific Islander," thereby conforming all racial and ethnic information to the new standards.

Local Early Steps may provide the opportunity for re-identification for children already enrolled during IFSP meetings, other face-to-face contacts or may choose to send a letter to the family requesting that they complete and return a data collection form.

Documentation must be maintained in the child's record of this collection. A sample data collection form is included but is not mandatory for this use. The revised IFSP form will provide a mechanism to collect and document the race/ethnicity data for new IFSPs.

Race/ethnicity data collected prior to the new format will continue to be stored and retrieved in the Early Steps Data System, but will not be converted to a new race/ethnicity category. In the event that a family does not identify specific race/ethnicity information, the service coordinator or designated Local Early Steps staff will be required to make a selection based on their knowledge of the child and family.

The last section of this training tool includes specific information about how the Early Steps Data System will collect, display, and report.

May 2010

Training Tool

Frequently Asked Questions:

Q: Why am I asking this question?

A: The demographics of our society have changed significantly in the last few decades and the federal government has re-defined race and ethnicity. As a result, all states are required to use these new categories so that individuals can more accurately report their origins.

Q: Why do I need to ask again?

A: The new race and ethnicity categories are not included in our current system which does not allow you to select multiple races. In order to obtain and identify the new Federal categories, you will need to ask parents/caregivers again using the new format. This will provide the opportunity for parents/caregivers to identify their children's origin in a more accurate way.

Q: When do I ask the question?

A: The information is to be captured for all open or active children as of October, 2010. The new format to gather this information will begin June 15, 2010. There are many opportunities for the re-identification of children already enrolled. This could occur during IFSP meetings, other face-to-face contacts or you may choose to send a letter to the family requesting that they complete and return a data collection form.

Q: Can we change Hispanic/Latino to Hispanic/Latina to match the gender of the child?

A: Yes.

Q: If a person answers yes to the ethnicity question, is s/he supposed to also answer the race question?

A: Yes. Both questions are to be answered. The first question asks whether or not the child is Hispanic/Latino. The second question allows parents/caregivers to select one or more races for their child.

Q: What should we do if we believe a child is of a different race or ethnicity than claimed?

A: The Service Coordinator must accept the parent/guardian's identification of his or her child's race and ethnicity. Self-identification is a basic principle underlying these changes.

Q: What should we do if an individual refuses to self-identify using the new race and ethnicity categories?

A: In the event that a family does not identify specific race/ethnicity information, the service coordinator or designated Local Early Steps staff will be required to make a selection based on their knowledge of the child and family, from information in existing documentation, and from visual observation,.

Child Race/Ethnicity Data Collection Form

Child's name: _____ DOB: _____
Unique number: _____

Please answer BOTH questions 1 and 2.

1. Is your child Hispanic or Latino (a person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race)?

Yes

No

2. What is your child's race? (Mark all that apply, however mark at least one.)

American Indian or Alaska Native (a person having origins in any of the original peoples of North and South America, including Central America, and who maintains tribal affiliation or community attachment)

Asian (a person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, e.g., Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam)

Black or African American (a person having origins in any of the black racial groups of Africa)

Native Hawaiian or Other Pacific Islander (a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands)

White (a person having origins in any of the original peoples of Europe, the Middle East, or North Africa)

Information collected by: _____ **Date:** _____

DRAFT Race/Ethnicity Information

This is a picture of the revised top portion of the Demographic screen showing the new Race-ethnicity data collection.

http://peds-vm-mch-apd:444/ero/ttmb.cfm - Windows Internet Explorer provided by the Department of Pediatrics

Patient Data Provider/Agency Codes Calendar Reports Job Track Logout

TECH SUPPORT ,Center 65 FIND BY Child's Name

Rec for: **TEST,CHILD** Unique Number: **1111111111** DOB: **01/01/10** PSC:
 Part C Flag: **Y** DEI Flag: **N** MED Flag: Medicaid ID:
 TPIN Flag: Initial IFSP: Most Recent IFSP: Disposition Code:
 Disposition Date: Record Created: **03/22/10** Updated by: **TECH SUPPORT** Record Last updated: **05/13/10**

- Changing Demographic For: TEST,CHILD (Unique Number:1111111111, Center:65).

REFERRAL INFORMATION

*Reg/Ref Date: 01/01/10 Initial Contact Date: *Referral Source: 1,PROTECTIVE INVESTIGATORS *Primary Reason for Referral: DDAD, Developmental Delay - Adaptive

BIRTH INFORMATION - CHILD

Medical Record ID: *Child(Last,First): TEST,CHILD *Child's DOB: 01/01/10 Child's AKA:
 Local ID: Child's SSN: Birthweight (grams):
 *Sex: F *Gest. age (weeks): 44 *Birth Order: 1 *Number of Births: 1
 Birth County: Birth Country: , USA Birth Hospital:
 Discharge Date: Birth ICD9 Codes: [Click for ICD9s \(Excel\)](#)

Race / Ethnicity Reporting

Previous Race Code: 1-BLACK * Is the child Hispanic / Latino?

What is the child's race?

Select one or more races Derived Federal Category

American or Alaskan Native
 Asian
 Black
 Pacific Islander
 White

BIRTH INFORMATION - BIRTH PARENTS

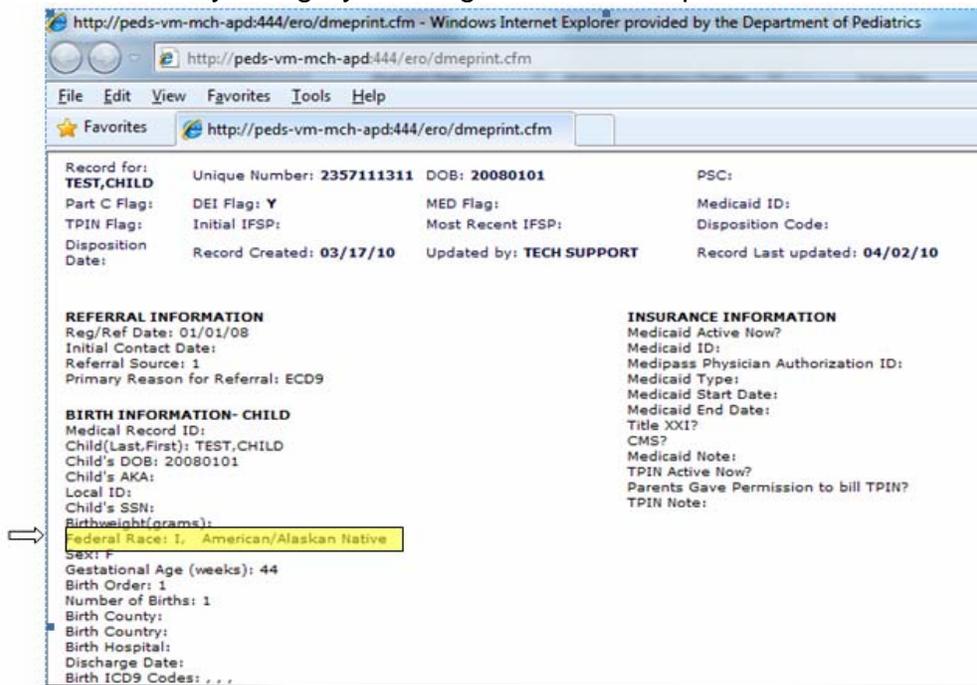
Mother's SSN: Mother's Name(Last,First): Mother's DOB: *Mother's Marital Status at Birth:

Color was used to help the new field(s) stand apart from the previously-collected data elements. In addition to showing the previous categorization by race, the new Federal category is also displayed at the right of the selections. The newly-implemented Federal race/ethnicity reporting categories (Hispanic, each of the five individual categories, and multi-racial) are shown on-screen per the user-selected choice(s) as well as in the print version of the Demographic record. All pre-existing children (those in the system prior to implementation) will have a blank in the Fed-race display just like new children, indicating that the SC or other staff member needs to "re-ask" the question of the primary caregiver. This category will be locked-down, meaning users

cannot make edits to the data from the defunct Kid race field. If the parent/guardian does not select a race, the service coordinator should decide based on judgment. Clarification of Federal Race categories: Any child having Hispanic checked will be counted as Hispanic regardless of other selections. Any child having only one of the Race categories selected will be reported under that race. Any child having multiple “non-Hispanic” races selected will be reported as multi-racial. Multi-racial is not a race category you can choose, but will be calculated by the system as required.

All Child Count reporting will refer to the new Federal categories.

Below is a picture of the top portion of the updated DME print-screen, showing that the new race/ethnicity category is being substituted in place of the older race code.



Report set-up screen currently looks like this (drop-down expanded to show current categorization):

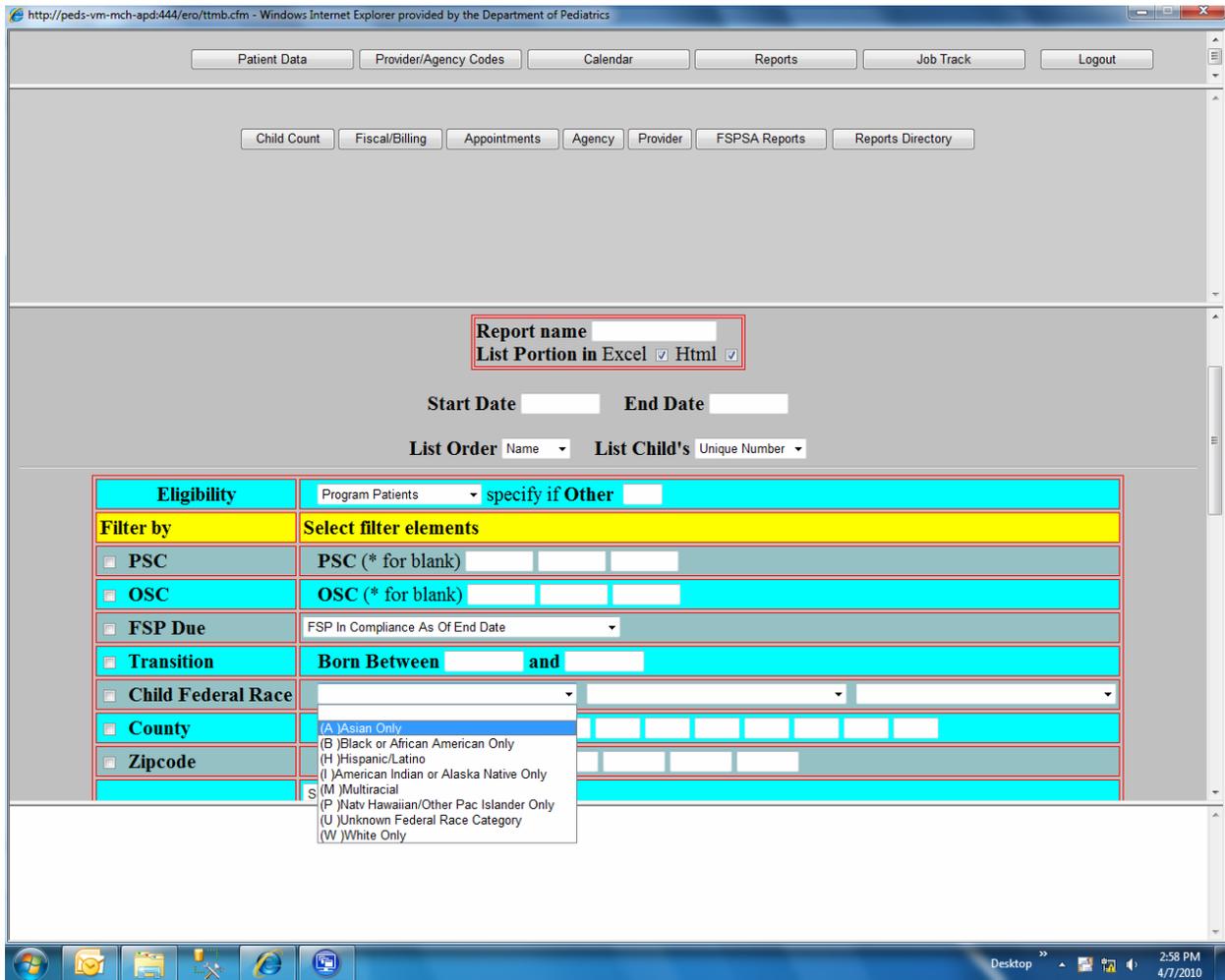
Patient Data Provided/Agency Codes Calendar Reports Job Track Logout

Child Count Fiscal/Billing Appointments Agency Provider FSPSA Reports Reports Directory

Eligibility	Program Patients specify if Other
Filter by	Select filter elements
<input type="checkbox"/> PSC	PSC (* for blank)
<input type="checkbox"/> OSC	OSC (* for blank)
<input type="checkbox"/> FSP Due	FSP In Compliance As Of End Date
<input type="checkbox"/> Transition	Born Between and
<input checked="" type="checkbox"/> Child Race	
<input type="checkbox"/> County	
<input type="checkbox"/> Zipcode	
<input type="checkbox"/> Referral source	
<input type="checkbox"/> Current ICD9	
<input type="checkbox"/> Referral ICD9	

- (1) Black
 - (2) White
 - (3) Hispanic
 - (4) Haitian
 - (5) Asian
 - (6) Native American
 - (7) Unknown
 - (8) Other
- Select a code
- Select a code

Here is an updated screen-shot of the report set-up.



In Excel output, Column AR, the new categorization of “Fed_Race” will be added along with “kid_race” for each child in the report. In the html, output for the regular summary tables will be unaffected. However, one of the user-selected tables, “Enrolled Children by Race, Sex and County”, will be replaced with the new Federal race categories. Output of the current system’s reporting for this table is shown below:

