

Summary of Completed Investigations and Management Advisories July 1, 2014 through June 30, 2015

Number	Type	Alleged Subject	Disposition
13-239	IN	Alleged violation of law of agency rules	2-Unsubstantiated 1-Substantiated without violation
13-248	IN	Alleged employee misconduct	2-Unsubstantiated
13-285	IN	Alleged employee misconduct	Unfounded
14-013	IN	Alleged contract violation(s)	Unfounded
14-019	MA	Alleged employee misconduct	Referred to Management
14-037	MA	Alleged employee misconduct	Referred to Management
14-043	MA	Alleged misconduct; misuse/abuse of power or authority	Referred to Management
14-055	PI	Alleged hostile work environment	No policy violation
14-067	PI	Alleged employee misconduct, Discrepancies in dismissal procedure	Unsubstantiated
14-082	IN	Alleged Information Security violation	Substantiated
14-084	NF	Alleged retaliation	Information Only
14-093	PI	Alleged policy failure & dismissal for work related illness	Unsubstantiated
14-096	MA	Alleged misconduct by former employee	Referred to Management
14-099	PI	Alleged employee misconduct	Subject terminated prior to conclusion of investigation
14-108	PI	Alleged missing/unaccounted packages	Substantiated
14-110	RF	Alleged retaliation and racial discrimination	Referred to FL Comm. On Human Relations
14-113	IN	Alleged employee misconduct	2-Unfounded 1-Unsubstantiated
14-116	PI	Alleged employee misconduct	Complainant involuntarily separated prior to conclusion of investigation
14-125	IN	Alleged employee misconduct	1-Substantiated 3-Unsubstantiated 7-Unfounded
14-126	NF	Alleged employee misconduct	Information Only
14-127	NF	Alleged employee misconduct	Information Only
14-128	PI	Concerns related to contract language	No administrative violations found
14-133	MA	Alleged employee misconduct	Referred to Management
14-135	IN	Displeasure with OIG investigation	3-Unfounded 1-Substantiated
14-137	MA	Alleged employee misconduct	Referred to Management
14-143	MA	Alleged employee misconduct	Referred to FL Comm. On Human Relations & Equal Opportunity
14-149	MA	Alleged employee misconduct	Referred to Management
14-151	MA	Alleged employee misconduct	Referred to Management
14-152	PI	Alleged breach of confidential information	Addressed by Management
14-168	NF	Alleged employee misconduct, retaliation	Information Only
14-171	IN	Alleged employee misconduct	4-Substantiated 2-Unsubstantiated
14-173	MA	Alleged employee misconduct	Referred to Management
14-174	PI	Alleged oral threat/Intimidation	Unsubstantiated
14-175	PI	Alleged employee misconduct	Addressed by Management

Legend	IN – Investigation	NF – Information Only	RF – Referral to Management
WB – Whistle-blower	MA – Management Advisory	LE – Criminal Referral	PI – Preliminary Inquiry

Number	Type	Alleged Subject	Disposition
14-177	NF	Alleged falsification of paternity	Information Only
14-178	RF	Alleged medication error	Referred to Medical Quality Assurance
14-179	RF	Alleged medical treatment issues at correctional institution	Referred to Everglades Correctional Institution
14-180	MA	Alleged employee misconduct, misuse of funds	No policy violation
14-181	WB	Alleged employee misconduct, retaliation	3-Unfounded 4-No authority/jurisdiction
14-182	PI	Alleged employee misconduct	No jurisdiction
14-183	NF	Alleged failure by DOH to perform radiation testing	Information Only
14-184	NF	Alleged failing septic inspection	Information Only
14-185	PI	Alleged misuse of DOH funds	No jurisdiction
14-186	RF	Alleged misconduct by a physician	Referred to Medical Quality Assurance
14-187	NF	No jurisdiction	Information Only
14-189	PI	Alleged employee misconduct	No policy violation
14-190	PI	Alleged missing drug delivery	Substantiated
14-191	RF	Alleged fraud by massage establishment	Referred to Medical Quality Assurance
14-192	MA	Alleged employee misconduct	Unfounded
14-193	MA	Alleged employee misconduct	No policy violation
14-194	PI	Alleged employee misconduct	Closed due to lack of information
14-195	NF	No apparent jurisdiction	Information Only
14-196	RF	Alleged medication error	Referred to Medical Quality Assurance
14-197	PI	Alleged misconduct/violation of salary policy	No policy violation
14-198	NF	Alleged inappropriate behavior	Information Only
14-199	IN	Alleged employee misconduct	1-Unsubstantiated 1-Unfounded
14-200	RF	Concerns regarding medical examiner	Referred to Medical Quality Assurance
14-201	RF	Alleged inappropriate needle stick	Referred to Medical Quality Assurance
14-202	RF	Request for assistance	Referred to Medical Quality Assurance
14-203	RF	Alleged unfair treatment/discrimination	Referred to Equal Opportunity
14-204	NF	Concerns regarding annual leave payout	Information Only
14-205	PI	Alleged misconduct	Unfounded
14-206	PI	Alleged violation of pay increase policy	No policy violation
14-207	RF	Alleged doctor completing a survey on healthcare inappropriately	Referred to Community Health Promotion
14-208	MA	Alleged employee misconduct	5-Unsubstantiated 3-Substantiated
14-209	PI	Alleged employee misconduct/retaliation	Unsubstantiated
14-210	MA	Alleged employee misconduct, conduct unbecoming	No misconduct
14-211	MA	Alleged employee misconduct/violation of CMS Foster Parent policy	Referred to Management
14-212	MA	Alleged misconduct/retaliation	No policy violation
14-213	NF	Alleged identity theft fraud	Information Only
14-214	RF	Alleged retaliation	Referred to FL Comm. On Human Relations
14-215	NF	Alleged theft of cash	Reported to Law Enforcement
14-216	RF	Allegations relating to a nursing home complaint	Referred to Agency for Health Care Administration
14-217	RF	Alleged unlicensed practitioner	Referred to Medical Quality Assurance
14-218	NF	Alleged mishandling of concerns	Information Only
14-219	PI	Alleged misconduct, dereliction of duty	Unfounded
14-220	IN	Alleged employee misconduct	No policy violation
14-221	MA	Alleged employee misconduct	Referred to Management

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Number	Type	Alleged Subject	Disposition
14-222	RF	Alleged illegal dental practices	Referred to Medical Quality Assurance
14-223	MA	Alleged employee misconduct	Referred to Management
14-224	MA	Alleged misconduct; potential destruction of records	Referred to Management
14-225	RF	Alleged dissatisfaction with CHD	Referred to DOH-Hernando
14-226	RF	Alleged possible fraudulent activity	Referred to Medical Quality Assurance
14-227	RF	Alleged concerns about medical care provided	Referred to Medical Quality Assurance
14-228	RF	Alleged issues at a CHD	Referred to DOH-Citrus & Med. Quality Assurance
14-229	RF	Alleged environmental issues	Referred to DOH-Miami-Dade
14-230	MA	Alleged employee misconduct	Referred to DOH-Sarasota
14-231	PI	Alleged abuse of drugs and alcohol while at work	Unfounded
14-232	RF	Alleged inadequate care by a hospital and doctors	Referred to Medical Quality Assurance
14-233	RF	Alleged inadequate care by a hospital and doctors	Referred to Medical Quality Assurance
14-234	NF	Alleged concerns regarding position	Information Only
14-235	NF	Alleged displeasure; retaliation; hostile environment	Information Only
14-236	NF	Alleged employee misconduct	Information Only
14-237	NF	Alleged employee misconduct	Information Only
14-238	PI	Alleged discrimination and misuse of funds	No policy violation
14-239	RF	Alleged discrimination and retaliation	Referred to Equal Opportunity
14-240	NF	Alleged salary wage freeze and unequal treatment	Information Only
14-241	NF	Alleged misuse of IT resources	Information Only
14-242	RF	Alleged displeasure with management	Referred to DOH-Osceola
14-243	RF	Alleged questionable management conduct	Referred to DOH-Franklin
14-244	RF	Alleged wrong medication used on a client	Referred to Medical Quality Assurance
14-245	NF	Alleged fraudulent medical billing	Information Only
14-246	NF	Alleged rough handling of closed medical records boxes	Information Only
14-247	RF	Alleged possible fraudulent activity by a pharmacy	Referred to Medical Quality Assurance
14-248	PI	Alleged employee misconduct; negligence	Management following-up
14-249	MA	Alleged retaliation and violation of Section 491.005, Florida Statutes	Insufficient evidence
14-250	NF	Alleged retaliation	Information Only
14-251	PI	Alleged employee misconduct - nepotism	Unfounded
14-252	MA	Alleged employee misconduct	No policy violation
14-253	MA	Alleged violation of patient confidentiality	No policy violation
14-254	MA	Alleged employee misconduct	No policy violation
14-255	RF	Alleged possible fraudulent activity by a pharmacy	Referred to Medical Quality Assurance
14-256	RF	Alleged denial of medical treatment by Department of Corrections	Referred to Medical Quality Assurance
14-257	RF	Request for assistance with application for disability benefits	Referred to Office of General Counsel & Disability Determination
14-258	MA	Alleged employee misconduct	Referred to Management
14-259	WB	Alleged retaliatory demotion	Referred to the Florida Commission on Human Relations
14-260	NF	Alleged misuse of federal grant money	Information Only
14-261	IN	Alleged misuse of authority; negligence	Unsubstantiated
14-262	NF	Request extension of leave	Information Only
14-264	MA	Alleged violation of patient confidentiality	Insufficient evidence
14-265	NF	Alleged accident	Information Only

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14-266	NF	Alleged inappropriate correspondence (fax)	Information Only
14-267	NF	Alleged delivery of an opened package by shipping company	Information Only
14-268	RF	Alleged violation of Department policy 60-8-14, <i>Discipline</i>	Referred to Medical Quality Assurance
14-269	PI	Alleged loss of Department-owned hard drive	Policy Failure
14-270	MA	Alleged inaccurate, misleading licensure information on MQA website	No policy violation
14-271	IN	Alleged violation of patient confidentiality	Substantiated
14-272	PI	Alleged retaliation	Insufficient information
14-273	PI	Alleged employee misconduct	Addressed by Management
14-274	NF	Alleged breach of security - client info	Information Only
14-275	NF	Alleged mismanagement	Information Only
14-276	NF	Alleged fraud	Information Only
14-277	NF	Alleged potential public assistance fraud	Information Only
14-278	NF	Alleged violations of policy or statute	Information Only
14-279	RF	Alleged hostile work environment/discrimination	Referred to Equal Opportunity
14-280	NF	Alleged policy violations	Information Only
14-281	MA	Alleged potential violation of patient confidentiality	Addressed by Management
14-285	NF	Alleged concerns with previous findings	Information Only
14-286	RF	Allegation of conditions at the Martin County Correctional Institution	Referred to Department of Corrections
14-288	PI	Alleged employee misconduct	No policy violation
14-290	NF	Alleged denial of medical coverage	Information Only
14-291	RF	Alleged unfair termination of employment	Referred to Equal Opportunity
14-292	MA	Alleged violation of patient confidentiality	Unfounded
14-293	PI	Alleged employee misconduct	Unfounded
14-294	PI	Alleged timesheet fraud; lack of qualifications for position	Unfounded
14-296	MA	Alleged negligence by DOH employee	Addressed by Management
14-297	RF	Alleged employee misconduct	Referred to Equal Opportunity
14-298	RF	Alleged unlicensed activity	Referred to Medical Quality Assurance
14-299	RF	Alleged falsifications of WIC client records	Referred to Medical Quality Assurance
14-300	NF	Alleged concerns about VA physician	Information Only
14-301	RF	Alleged mismanagement	Referred to DOH-Highlands
14-302	NF	Alleged displeasure with outcome of MQA investigation	Information Only
14-303	NF	Alleged wrongdoing by DOH employee	Information Only
14-305	MA	Alleged employee misconduct	No policy violation
14-306	RF	Alleged violation of policy	Referred to Medical Quality Assurance
14-307	NF	Alleged mismanagement	Information Only
14-308	NF	Alleged retaliation	Closed without action
14-309	MA	Alleged potential violation of patient confidentiality	Addressed by Management
14-311	NF	No jurisdiction	Information Only
14-312	RF	Alleged retaliation/discrimination	Referred to Equal Opportunity
14-313	RF	Alleged unlicensed pharmacy technician	Referred to Medical Quality Assurance
14-314	PI	Alleged misuse of IT resources	Substantiated
14-316	NF	Insufficient information provided	Information Only
14-317	NF	Alleged breach of confidentiality	Information Only
14-318	NF	Alleged concerns regarding leachate water, water drains, etc.	Information Only

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Number	Type	Alleged Subject	Disposition
14-319	RF	Alleged employee misconduct	Referred to Medical Quality Assurance
14-320	RF	Alleged forging of doctor's signatures	Referred to Medical Quality Assurance
14-321	PI	Alleged possible misuse of WIC funds	No policy violation
14-322	MA	Alleged conduct unbecoming a State employee	Referred to Management
14-323	MA	Alleged Medicaid fraud; wrongful dismissal	Closed without action
14-324	RF	Alleged possible pollution of water supply	Referred to DOH-Lake
15-001	LE	Alleged misuse of IT resources	Criminal Referral to FL Dept. of Law Enforcement
15-002	MA	Alleged employee misconduct	Complaint withdrawn
15-003	NF	Alleged hostile work environment; discrimination, etc.	Information Only
15-004	NF	Alleged displeasure with mental health professionals	Information Only
15-005	NF	Alleged failure to investigate complaint	Information Only
15-006	NF	Alleged employee misconduct	Information Only
15-007	NF	Alleged retaliation	Closed without action
15-008	MA	Alleged unlicensed medical activity	Addressed by Management
15-009	IN	Alleged employee misconduct	Substantiated
15-010	PI	Alleged potential identity irregularities	No evidence
15-011	NF	Alleged inability to modify/alter resignation date	Information Only
15-012	NF	Alleged employee misconduct	Information Only
15-013	NF	Alleged concerns about clinical psychologist	Information Only
15-014	NF	Alleged employee misconduct	Information Only
15-015	MA	Alleged employee misconduct	Closed due to duplicate case
15-016	PI	Alleged violation of attendance & leave policy	No violation
15-017	RF	Alleged delay in doctor signing a death certificate	Referred to Medical Quality Assurance
15-018	NF	Alleged displeasure with test results	Information Only
15-019	NF	No jurisdiction	Information Only
15-020	PI	Alleged displeasure with management and working conditions	Insufficient information to initiate investigation
15-021	RF	Alleged employee misconduct	Referred to Medical Quality Assurance
15-022	NF	Alleged retaliation	Closed without action
15-023	IN	Alleged retaliation	Unfounded
15-024	NF	Alleged misconduct by physician	Information Only
15-026	RF	Alleged sexual harassment retaliation	Referred to Equal Opportunity
15-027	MA	Alleged violation of recruitment and selection policy	No policy violation
15-028	MA	Alleged theft of DOH laptop	Addressed by Management
15-029	PI	Alleged missing drugs	Insufficient evidence provided
15-030	NF	Alleged serious issues at MQA	Information Only
15-031	RF	Alleged health risk	Referred to DOH-Miami-Dade
15-032	NF	Alleged violation of the Stark Law	Information Only
15-033	NF	Alleged employee misconduct	Information Only
15-034	NF	Concerns about prescriptions drug deaths	Information Only
15-035	NF	Alleged operating procedures not followed	Information Only
15-036	NF	Alleged displeasure with management	Information Only
15-038	NF	Alleged harassing phone calls from claimant	Information Only
15-039	NF	Alleged wrongful termination	Closed without action
15-040	NF	Alleged inappropriate actions by employee	Information Only

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Number	Type	Alleged Subject	Disposition
15-041	PI	Alleged workplace violence	Complaint withdrawn
15-043	RF	Alleged failure to refer babies to Dept. of Children & Families Referral Center	Referred to Medical Quality Assurance
15-044	NF	Alleged breach of protected health information	Information Only
15-045	PI	Alleged unauthorized access to DOH system; potential fraud	Addressed by Management
15-046	RF	Alleged misconduct by physician	Referred to Medical Quality Assurance
15-047	RF	Alleged possible fraud by a pharmacy	Referred to Medical Quality Assurance
15-048	RF	Alleged breach of protected health information	Referred to Equal Opportunity
15-049	MA	Alleged misconduct and racial discrimination	Unsubstantiated
15-050	NF	Alleged employee misconduct	Unfounded
15-052	PI	Alleged potential theft of grant monies	Referred to Dept. of Business and Prof. Regulation
15-053	RF	Alleged employee misconduct	Referred to Medical Quality Assurance
15-054	NF	Alleged dissatisfaction with MQA	Information Only
15-055	RF	Alleged neglect of proper care of a patient	Referred to Medical Quality Assurance
15-056	RF	Alleged misconduct by a nurse	Referred to Medical Quality Assurance
15-057	NF	Alleged concern regarding a private healthcare facility (No jurisdiction)	Information Only
15-058	RF	Alleged extraction of incorrect tooth	Referred to Medical Quality Assurance & Office of General Counsel
15-059	NF	Alleged unfair dismissal from employment	Information Only
15-060	NF	Alleged hostile work environment	Information Only
15-061	PI	Alleged fraud	Unsubstantiated
15-062	NF	Alleged concerns about health inspector	Information Only
15-063	PI	Alleged timesheet fraud	Complaint withdrawn
15-064	NF	Alleged inappropriate interaction with patient	Information Only
15-065	RF	Alleged rude and inappropriate comments	Referred to Office of General Counsel
15-066	RF	Alleged minor disputes/altercations	Referred to Medical Quality Assurance
15-067	RF	Alleged unlawful or unethical acts against patients	Referred to Medical Quality Assurance
15-068	RF	Alleged harassment	Referred to Equal Opportunity
15-070	NF	Alleged misconduct by a psychiatrist	Information Only
15-073	RF	Alleged misconduct by physician	Referred to Medical Quality Assurance
15-074	NF	Request for investigation into MQA case	Information Only
15-075	MA	Alleged falsification of documents	No policy violation
15-076	RF	Alleged employee misconduct	Referred to Medical Quality Assurance
15-077	RF	Alleged restricted area access was not recorded	Referred to Medical Quality Assurance
15-079	RF	Alleged sexual harassment	Referred to Equal Opportunity
15-081	IN	Alleged failure to report sexual abuse	Substantiated
15-082	NF	Request for investigation into MQA case	Information Only
15-083	NF	Alleged safety violations	Information Only
15-084	NF	No jurisdiction	Information Only
15-085	NF	Alleged health care practitioner misconduct	Information Only
15-087	PI	Alleged potential violation of patient confidentiality	Unsubstantiated
15-089	RF	Alleged retaliation and hostile work environment	Referred to FL Comm. On Human Relations
15-091	RF	Alleged bullying and harassment	Referred to Equal Opportunity
15-092	NF	Alleged abuse of power	Information Only
15-093	RF	Alleged hostile work environment	Referred to Equal Opportunity

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Number	Type	Alleged Subject	Disposition
15-094	NF	Alleged concerns with mold at private apartment complex	Information Only
15-095	MA	Alleged employee misconduct	Addressed by Management
15-096	RF	Alleged concerns regarding treatment	Referred by Medical Quality Assurance
15-097	NF	No jurisdiction	Information Only
15-098	RF	Alleged unfair treatment and discrimination	Referred to Equal Opportunity
15-099	RF	Alleged hostile work environment leading to resignation	Referred to Agency for Health Care Administration
15-100	RF	Alleged use of pre-signed pregnancy test forms	Referred to Medical Quality Assurance
15-103	PI	Alleged retaliation	Unsubstantiated
15-104	IN	Alleged employee misconduct	Substantiated
15-105	RF	Alleged violation of law or agency rules	Referred to Office of General Counsel
15-106	RF	Alleged concerns after dental procedure	Referred to Medical Quality Assurance
15-108	MA	Alleged violation of grant, favoritism, discrimination & misconduct	No policy violation
15-109	NF	Concerns about medical and dental services	Information Only
15-110	NF	Alleged unfair documented counseling	Information Only
15-112	RF	Alleged health care practitioner misconduct	Referred to Medical Quality Assurance
15-113	NF	Request for medical records	Information Only
15-114	MA	Alleged failure to adhere to FL Administrative Code	No policy violation
15-115	RF	Alleged illegal dumping of sewage	Referred to DOH-Sumter
15-116	NF	Alleged inability to receive an increase in pay	Information Only
15-117	RF	Alleged sexual harassment	Referred to Equal Opportunity
15-118	RF	Alleged dog at workplace	Referred to Division of Disease Control
15-119	RF	No jurisdiction	Referred to Medical Quality Assurance
15-121	RF	Concerns regarding licensed health professionals	Referred to Medical Quality Assurance
15-122	NF	Alleged employee misconduct	Information Only
15-123	NF	No jurisdiction	Information Only
15-125	RF	Alleged concerns regarding a women's residential facility	Referred to Medical Quality Assurance
15-128	NF	No jurisdiction	Information Only
15-129	NF	No jurisdiction	Information Only
15-130	RF	Alleged sexual harassment	Referred to Equal Opportunity
15-131	NF	Alleged mishandling of healthcare practitioner licensure complaint	Information Only
15-132	RF	Alleged fraud and discrimination	Referred to Medical Quality Assurance
15-133	NF	Alleged abuse of elderly by a nurse	Information Only
15-134	NF	Alleged violation of civil rights	Information Only

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