

Summary of Completed Investigations and Management Advisories July 1, 2015 through June 30, 2016

Number	Type	Alleged Subject	Disposition
14-090	WB	Alleged employee misconduct	1-Unsubstantiated 1- Substantiated
14-109	WB	Alleged retaliation and racial discrimination	3-Unsubstantiated
14-263	IN	Alleged misconduct	2-Unfounded 4-Substantiated 2-Unsubstantiated
14-282	PI	Alleged misconduct/potential violation of patient confidentiality	No evidence found
14-284	WB	Alleged employee misconduct	Substantiated
14-287	IN	Alleged employee misconduct	Allegations addressed in OIG 14-263
14-289	IN	Alleged employee misconduct	One allegation referred to Medical Quality Assurance 2-Substantiated 1-Unsubstantiated
14-295	IN	Alleged violation of IT security	Substantiated
14-304	IN	Alleged violation of patient confidentiality	Unsubstantiated
14-310	IN	Alleged employee misconduct	1-Substantiated 1-Unsubstantiated
15-025	IN	Alleged employee misconduct; retaliation	2-Substantiated 3-Undounded
15-037	MA	Alleged misuse of State rental vehicle for personal use	Referred to Management
15-042	IN	Alleged timesheet fraud; IT misuse; favoritism & sexual harassment	6-Unsubstantiated
15-069	IN	Alleged timesheet fraud, theft, misuse of department resources	1-Substantiated 1-Unsubstantiated 2-Unfounded
15-071	MA	Alleged employee misconduct	Referred to Management
15-072	INA	Alleged falsification citizenship on employment application	Investigative Assist
15-078	IN	Alleged misconduct/faulty septic inspections	2-Substantiated
15-080	MA	Alleged employee misconduct	No policy violations
15-086	MA	Alleged faulty drain field & unresponsive EH staff	No policy violations
15-088	IN	Alleged employee misconduct	Allegations not supported
15-090	MA	Alleged displeasure with septic permitting/inspection	Referred to Management
15-101	IN	Alleged potential ethics violation(s)	4-Substantiated
15-102	MA	Alleged contract fraud	Partially Substantiated and Partially Inconclusive
15-107	IN	Alleged falsification of records	Substantiated
15-111	MA	Alleged directing subordinate to violate FL Statute 39.201	Referred to Management
15-120	PI	Alleged retaliation; conduct unbecoming & violation of confidentiality	Addressed by DOH-Orange HR & DOH EO
15-124	MA	Alleged violation of information security & privacy policy	Referred to Management
15-126	MA	Alleged implied threat of physical violence	Referred to Management
15-127	NF	Alleged discrimination and retaliation	Information Only
15-135	PI	Alleged illegal use of handicapped parking permit	Substantiated
15-136	RF	Alleged falsification of daily activities/patient records	Referred to Medical Quality Assurance
15-137	RF	Concerns about Refugee Health Assessment Program	Referred to Div. of Community Health Promotion
15-138	RF	Alleged sexual harassment	Referred to Equal Opportunity
15-139	NF	Alleged displeasure with dentures	Information Only
15-140	RF	Alleged medication error by pharmacy	Referred to Medical Quality Assurance

Legend	IN – Investigation	NF – Information Only	RF – Referral to Management
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Number	Type	Alleged Subject	Disposition
15-141	PI	Alleged misrepresenting licensure	No evidence found
15-142	IN	Alleged misuse of position and information technology resources	Substantiated
15-143	PI	Alleged employee misconduct	2-Unfounded 10-Unsubstantiated
15-144	PI	Alleged employee misconduct, hostile work environment & favoritism	Cross reference to OIG 15-143
15-145	MA	Alleged unwanted physical contact	No policy violations
15-146	NF	Alleged nepotism	Information Only
15-147	RF	Alleged hostile work environment	Referred to Equal Opportunity
15-148	MA	Alleged employee misconduct	Referred to Management
15-149	PI	Alleged misconduct	Unfounded
15-150	NF	Alleged ethnic and sexual charged statements	Handled by DOH-Palm Beach
15-151	MA	Alleged misconduct/negligence	No policy violations
15-152	RF	Alleged fraud	Referred to Florida Dept. of Law Enforcement
15-153	RF	Alleged concerns about availability of pediatric vaccinations	Referred to DOH-Escambia
15-154	NF	Alleged concerns at healthcare facility	Information Only
15-155	NF	Leased facility in DOH-Lee	Information Only
15-156	NF	Alleged dispute/altercation	Information Only
15-157	NF	Alleged retaliation	Information Only
15-158	NF	Alleged complaint against license health professionals	Information Only
15-159	RF	Refund for transcripts	Referred to Medical Quality Assurance
15-160	IN	Alleged misconduct, misuse/abuse of position	Substantiated
15-161	RF	Alleged complaint against license health professional/medical facility	Referred to Medical Quality Assurance
15-162	RF	Alleged concern of contamination of water supply	Referred to DOH-Hillsborough
15-163	NF	Alleged falsification of paperwork	Information Only
15-164	NF	Alleged concerns about AIDS Association/West Coast AIDS Foundation	Information Only
15-165	RF	Alleged incomplete inspection of facility	Referred to Medical Quality Assurance
15-166	NF	Alleged ethical violations	Information Only
15-167	NF	Alleged employee misconduct	Information Only
15-168	NF	Alleged retaliation	Information Only
15-169	RF	Alleged concerns about medical treatment	Referred to Medical Quality Assurance
15-170	PI	Alleged violation of DOH policy	Unsubstantiated
15-171	NF	Alleged sexual misconduct	Information Only
15-172	RF	Alleged mishandling of a licensed health professional complaint	Referred to Medical Quality Assurance
15-173	NF	Alleged concerns about medical treatment received	Information Only
15-174	RF	Response to written reprimand	Referred to HR/Labor Relations
15-175	NF	Alleged retaliation	Information Only
15-176	PI	Alleges misuse of position & FL Medicaid Management Info. System	No policy violations
15-177	MA	Alleged employee misconduct	No policy violations
15-178	NF	Alleged unlicensed practical nurse performing duties	Medical Quality Assurance jurisdiction
15-179	NF	Alleged negligence	Information Only
15-180	NF	Alleged breach of personal identifiable info./violation of regulations	Information Only
15-181	PI	Alleged employee misconduct	Handled by Medical Quality Assurance
15-182	PI	Alleged misuse of position/IT resources	No policy violations
15-183	NF	Alleged issues regarding a licensed health professional	Information Only
15-184	IN	Alleged misuse of DOH resources	3-Substantiated 4-Unsubstantiated

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Number	Type	Alleged Subject	Disposition
15-185	NF	Alleged retaliation/wrongful termination	Information Only
15-186	MA	Alleged unequal treatment/wrongful treatment	4-Unsubstantiated
15-187	MA	Alleged employee misconduct	No policy violations
15-188	RF	Alleged misconduct by a dentist	Referred to Medical Quality Assurance
15-189	MA	Displeasure with septic permitting personnel/process	3-Unfounded
15-190	RF	Issues regarding DCF	Referred to Department of Children and Families
15-191	NF	No jurisdiction	Information Only
15-192	NF	Chlorine in the City of Apopka's Water Treatment Facilities	Information Only
15-193	RF	Alleged inappropriately receiving WIC funds	Referred to DOH-Volusia
15-194	PI	Alleged dereliction of duty	4-Unfounded 3-Unsubstantiated
15-195	MA	Alleged employee misconduct	1-Unfounded 4-Unsubstantiated
15-196	NF	Possible Medicaid and Medicare fraud at local pharmacies	Information Only
15-197	RF	Alleged violation of confidential information	Referred to Medical Quality Assurance
15-198	NF	No jurisdiction (Agency for Health Care Administration)	Information Only
15-199	NF	No jurisdiction (Department of Children & Families)	Information Only
15-200	IN	Healthcare provider allegedly allowed to work while license expired	2-Substantiated
15-201	RF	Possible falsification of client records	Referred to DOH-Hendry
15-202	MA	Alleged deficiencies wit professional licensure	Referred to Management
15-203	NF	Alleged employee misconduct	Information Only
15-204	NF	Alleged did not received a WIC benefit payment	No violation
15-205	NF	Concerns regarding job duties & promotions; employee misconduct	Information Only
15-206	NF	No jurisdiction	Violation of DOH policy
15-207	MA	Alleged employee misconduct	Referred to Management
15-208	NF	Alleged misuse of grant funds	Information Only
15-209	NF	Alleged mishandling of practitioner complaint	Information Only
15-210	NF	Alleged failure to enforce the correction action plan	Information Only
15-211	NF	Authorization for Release of Patient Information	Information Only
15-212	RF	Alleged misconduct by a licensed health professional	Referred to MQA & Department of Corrections
15-213	NF	Alleged questionable management conduct	Information Only
15-214	IN	Alleged employee misconduct	3-Substantiated 1-Unfounded
15-215	NF	Dissatisfaction with son's medical treatment	Information Only
15-216	PI	Alleged employee misconduct	No policy violations
15-217	MA	Alleged employee misconduct/hostile work environment	Referred to Management
15-218	PI	Alleged billing for services not provided	Corrective Action taken by Management
15-219	IN	Alleged falsification of records and violation of laws & rules	2-Substantiated
15-220	NF	Alleged employee misconduct	Information Only
15-221	NF	Alleged inappropriate conduct	Information Only
15-222	NF	Alleged unprofessionalism in the workplace	Information Only
15-223	NF	Alleged "poor" treatment at facility/fraudulent billing practices, etc.	Information Only
15-224	NF	Alleged breach of protected health information	Information Only
15-225	NF	Alleged potential timecard fraud	Information Only
15-226	MA	Alleged battery and unbecoming conduct	Subject terminated/No policy violations
15-227	MA	Alleged misuse of position/IT resources & violation of confidentiality	No policy violations
15-228	RF	Alleged employee misconduct	Referred to Medical Quality Assurance

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Number	Type	Alleged Subject	Disposition
15-229	MA	Alleged retaliation via wrongful termination	Complainant dismissed/No policy violations
15-231	RF	Alleged medical practitioner misconduct	Referred to Medical Quality Assurance
15-232	NF	Alleged discrimination	Information Only
15-233	NF	Alleged harassment	Information Only
15-234	NF	Alleged theft of safety paper by employee	Probationary termination
15-235	NF	Alleged client database sent to vendor	Information Only
15-236	NF	Alleged employee misconduct	Information Only
15-237	NF	Alleged HIPAA violation/discrimination	Information Only
15-238	NF	Alleged abuse of time	Information Only
15-239	NF	Alleged drug use	Information Only
15-240	NF	Alleged fraudulent practices	Information Only
15-241	RF	Alleged concern about the handling of an investigation	Referred to Medical Quality Assurance
15-242	RF	No jurisdiction	Referred to Department of Children & Families
15-243	NF	Alleged incorrect closing procedures	Information Only
15-244	RF	Alleged potential insurance fraud	Referred to Dept. of Financial Services & MQA
15-245	MA	Alleged conduct unbecoming by department employee	Written reprimand given to subject
15-246	MA	Alleged failure to update laboratory protocols	No violations found
15-247	RF	Alleged medication errors	Referred to Medical Quality Assurance
15-248	NF	Alleged Medicaid fraud & licensed health professionals	Information Only
15-249	NF	Alleged fraud	Information Only
15-250	PI	Alleged gross mismanagement; retaliation, etc.	Insufficient evidence to support allegations
15-251	NF	No jurisdiction	Information Only
16-001	NF	Alleged retaliation	Information Only
16-002	MA	Alleged potential violation of patient privacy	Referred to Management
16-003	NF	Alleged wrongful termination	Information Only
16-004	NF	Alleged mismanagement	Information Only
16-005	NF	No jurisdiction	Information Only
16-006	NF	Alleged retaliation	Information Only
16-007	NF	Missing equipment	Information Only
16-008	NF	No jurisdiction	Information Only
16-009	NF	Alleged ethic issues	Information Only
16-011	PI	Alleged employee misconduct; practicing medicine without a license	No evidence found
16-012	PI	Alleged violation of Federal overtime law & various scheduling issues	No disciplinary policy violations
16-013	NF	Alleged employee misconduct	Information Only
16-014	NF	Alleged misuse of vehicle	Information Only
16-016	NF	Alleged hostile work environment	Information Only
16-017	RF	Alleged misuse of funds on CMS Early Steps contracts	Referred to Children's Medical Services
16-018	RF	Potential violation of statute or policy	Referred to Management
16-019	NF	Alleged misuse of DOH resource	Information Only
16-020	NF	Alleged potential employee misconduct	Information Only
16-021	NF	Alleged potential fraud	Information Only
16-022	NF	Alleged "bullying"	Information Only
16-023	NF	Alleged criticism by supervisor	Information Only
16-024	NF	No jurisdiction	Information Only

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Number	Type	Alleged Subject	Disposition
16-025	NF	Alleged travel reimbursement fraud	Unfounded
16-026	MA	Alleged employee misconduct	Documented Counseling Memo issued
16-027	NF	Medical treatment at Correctional Institution	Information Only
16-028	NF	No jurisdiction	Information Only
16-029	NF	No jurisdiction	Information Only
16-030	NF	No jurisdiction	Information Only
16-031	NF	No specifics	Information Only
16-032	RF	Alleged theft	Referred to Department of Children and Families
16-033	NF	Alleged employee misconduct	Information Only
16-034	NF	Pension options	Information Only
16-035	NF	No jurisdiction	Information Only
16-036	NF	No jurisdiction	Information Only
16-037	NF	Alleged inappropriate actions by persons	Information Only
16-038	NF	No jurisdiction	Information Only
16-039	NF	Code violations by a spa	Information Only
16-040	NF	Alleged retaliation	Information Only
16-041	NF	Alleged improper audio recording of DOH meeting	Information Only
16-042	NF	Alleged harassment by a co-worker	Information Only
16-044	MA	Alleged employee misconduct	Referred to Management
16-046	RF	No jurisdiction	Referred to Department of Corrections
16-048	NF	No jurisdiction	Information Only
16-049	NF	Issues with online licensure renewal for psychologists & CE Broker	Information Only
16-050	NF	Allegation of wrongdoing	Information Only
16-051	NF	Alleged retaliation	Information Only
16-052	RF	Alleged misconduct	Referred to DOH-Miami-Dade
16-053	NF	Alleged concerns about management	Information Only
16-054	RF	Alleged misconduct by DOH employee	Referred to Medical Quality Assurance
16-056	RF	Alleged potential medical negligence	Referred to Medical Quality Assurance
16-057	NF	No jurisdiction	Information Only
16-058	NF	Jackson County School Board employees	Information Only
16-059	MA	Alleged violations of State and Federal requirements regarding treatment of biohazards materials	Referred to Management
16-060	NF	Alleged retaliation and employee misconduct	Information Only
16-064	NF	No jurisdiction	Information Only
16-065	RF	Alleged wrongdoing	Referred to Equal Opportunity
16-066	NF	Renewal of Speech-Language Pathology license	Information Only
16-067	NF	Unrestricted Medical License Application	Information Only
16-068	NF	Alleged dissatisfaction with management	Information Only
16-069	NF	No jurisdiction	Information Only
16-070	RF	Alleged employee misconduct	Referred to Equal Opportunity
16-071	NF	Alleged discrimination/retaliation	Information Only
16-072	NF	Alleged improper dental care received	Information Only
16-073	IN	Alleged theft of DOH recycling funds	Unfounded
16-074	NF	Pool Inspections	Information Only
16-075	NF	Requesting investigation regarding government employees	Information Only

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16-076	NF	Concerns regarding Medical Quality Assurance complaint	Information only
16-077	RF	Florida plasma center (no jurisdiction)	Referred to Agency of Health Care Administration
16-078	NF	Alleged lack of management and office procedures	Information Only
16-079	NF	Risk containment	Information Only
16-080	NF	Compensation for extra caseload	Information Only
16-081	NF	Billing out of network for rabies treatment	Information Only
16-083	NF	Alleged misconduct	Information Only
16-084	NF	No jurisdiction	Information Only
16-085	NF	Alleged interference of Dentistry by non-licensed staff & retaliation	Information Only
16-086	NF	Private medical facilities or providers	Information Only
16-087	NF	Alleged harassment	Information Only/Cross Reference to OIG 16-047
16-088	NF	Alleged Medicaid, Medicare or insurance fraud at private hospital	Information Only
16-089	PI	Alleged unearned compensation, nepotism & dual employment	No supported allegations
16-090	NF	Displeasure with pool permitting processes	Information Only
16-091	RF	Alleged protected health information improperly handled	Referred to DOH-Volusia
16-092	NF	Alleged employee misconduct and misused of FMLA	Information Only
16-093	RF	Alleged health care practitioner licensure fraud	Referred to Medical Quality Assurance
16-094	RF	Alleged consumer safety	Referred to Medical Quality Assurance
16-095	NF	Issues within Medical Quality Assurance	Information Only
16-096	RF	Services at the ADAP office	Referred to DOH-Miami-Dade
16-097	NF	No jurisdiction (Premiere Center for Cosmetic Surgery)	Information Only
16-099	NF	Alleged wrongful termination	Information Only
16-101	NF	Alleged retaliation and practicing dentistry absent a license	Information Only
16-104	NF	Alleged misconduct	Information Only
16-105	PI	Alleged employee misconduct	No policy violations
16-106	RF	Alleged unlicensed activity	Referred to Medical Quality Assurance
16-107	NF	Public Records Request	Information Only
16-108	NF	Potential attempted fraud	Information Only
16-109	RF	Complaint against Registered Nurse	Referred to Medical Quality Assurance
16-110	NF	Missing family planning medication	Information Only
16-111	NF	Alleged retaliation	Information Only (Cross reference OIG 14-054)
16-113	NF	Alleged discrimination	Information Only
16-114	NF	Alleged displeasure with investigation	Information Only
16-115	NF	Solicitation to buy private insurance while at work	Information Only
16-118	NF	Malfunctioning of hearing aid	Information Only

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