§ 20.2206 Reports of individual monitoring.

(a) This section applies to each person licensed by the Commission to—

(1) Operate a nuclear reactor designed to produce electrical or heat energy pursuant to §50.21(b) or §50.22 of this chapter or a testing facility as defined in §50.2 of this chapter; or

(2) Possess or use byproduct material for purposes of radiography pursuant to parts 30 and 34 of this chapter; or

(3) Possess or use at any one time, for purposes of fuel processing, fabricating, or reprocessing, special nuclear material in a quantity exceeding 5,000 grams of contained uranium-235, uranium-233, or plutonium, or any combination thereof pursuant to part 70 of this chapter; or

(4) Possess high-level radioactive waste at a geologic repository operations area pursuant to part 60 or 63 of this chapter; or

(5) Possess spent fuel in an independent spent fuel storage installation (ISFSI) pursuant to part 72 of this chapter; or

(b) Each licensee in a category listed in paragraph (a) of this section shall submit an annual report of the results of individual monitoring carried out by the licensee for each individual for whom monitoring was required by §20.1502 during that year. The licensee may include additional data for individuals for whom monitoring was provided but not required. The licensee shall use Form NRC 5 or electronic media containing all the information required by Form NRC 5.

(c) The licensee shall file the report required by §20.2206(b), covering the preceding year, on or before April 30 of each year. The licensee shall submit the report to the REIRS Project Manager by an appropriate method listed in §20.1007 or via the REIRS Web site at http://www.reirs.com.

§ 20.2207 Reports of transactions involving nationally tracked sources.

Each licensee who manufactures, transfers, receives, disassembles, or disposes of a nationally tracked source shall complete and submit a National Source Tracking Transaction Report as specified in paragraphs (a) through (e) of this section for each type of transaction.

(a) Each licensee who manufactures a nationally tracked source shall complete and submit a National Source Tracking Transaction Report. The report must include the following information:

1. The name, address, and license number of the reporting licensee;

2. The name of the individual preparing the report;

3. The manufacturer, model, and serial number of the source;

4. The radioactive material in the source;

5. The date of manufacture or the date of distribution if the material was manufactured prior to the date of distribution.

6. The quantity of radionuclide 1 in curies.

The Commission may require as a license condition, or by rule, regulation, or order pursuant to §20.2302, reports from licensees who are licensed to use radionuclides not on this list, in quantities sufficient to cause comparable radiation levels.

(b) Each licensee in a category listed in paragraph (a) of this section shall submit an annual report of the results of individual monitoring carried out by the licensee for each individual for whom monitoring was required by §20.1502 during that year. The licensee may include additional data for individuals for whom monitoring was provided but not required. The licensee shall use Form NRC 5 or electronic media containing all the information required by Form NRC 5.

(c) The licensee shall file the report required by §20.2206(b), covering the preceding year, on or before April 30 of each year. The licensee shall submit the report to the REIRS Project Manager by an appropriate method listed in §20.1007 or via the REIRS Web site at http://www.reirs.com.

§ 20.2207 Reports of transactions involving nationally tracked sources.

Each licensee who manufactures, transfers, receives, disassembles, or disposes of a nationally tracked source shall complete and submit a National Source Tracking Transaction Report. The report must include the following information:

1. The name, address, and license number of the reporting licensee;

2. The name of the individual preparing the report;

3. The manufacturer, model, and serial number of the source;

4. The radioactive material in the source;
(5) The initial source strength in becquerels (curies) at the time of manufac-
    ture; and
(6) The manufacture date of the source.
(b) Each licensee that transfers a na-
    tionally tracked source to another per-
    son shall complete and submit a Na-
    tional Source Tracking Transaction
    Report. The report must include the
    following information:
    (1) The name, address, and license
        number of the reporting licensee;
    (2) The name of the individual pre-
        paring the report;
    (3) The name and license number of
        the recipient facility and the shipping
        address;
    (4) The manufacturer, model, and se-
        rial number of the source or, if not
        available, other information to unique-
        ly identify the source;
    (5) The radioactive material in the
        source;
    (6) The initial or current source
        strength in becquerels (curies);
    (7) The date for which the source
        strength is reported;
    (8) The shipping date;
    (9) The estimated arrival date; and
    (10) For nationally tracked sources
        transferred as waste under a Uniform
        Low-Level Radioactive Waste Mani-
        fest, the waste manifest number and
        the container identification of the con-
        tainer with the nationally tracked
        source.
(c) Each licensee that receives a na-
    tionally tracked source shall complete
    and submit a National Source Tracking
    Transaction Report. The report must
    include the following information:
    (1) The name, address, and license
        number of the reporting licensee;
    (2) The name of the individual pre-
        paring the report;
    (3) The name, address, and license
        number of the person that provided the
        source;
    (4) The manufacturer, model, and se-
        rial number of the source or, if not
        available, other information to unique-
        ly identify the source;
    (5) The radioactive material in the
        source;
    (6) The initial or current source
        strength in becquerels (curies);
    (7) The date for which the source
        strength is reported;
    (8) The date of receipt; and
(9) For material received under a
    Uniform Low-Level Radioactive Waste
    Manifest, the waste manifest number
    and the container identification with
    the nationally tracked source.
(d) Each licensee that disassembles a
    nationally tracked source shall com-
    plete and submit a National Source
    Tracking Transaction Report. The re-
    port must include the following infor-
    mation:
    (1) The name, address, and license
        number of the reporting licensee;
    (2) The name of the individual pre-
        paring the report;
    (3) The manufacturer, model, and se-
        rial number of the source or, if not
        available, other information to unique-
        ly identify the source;
    (4) The radioactive material in the
        source;
    (5) The initial or current source
        strength in becquerels (curies);
    (6) The date for which the source
        strength is reported;
    (7) The disassemble date of the
        source.
(e) Each licensee who disposes of a
    nationally tracked source shall com-
    plete and submit a National Source
    Tracking Transaction Report. The re-
    port must include the following infor-
    mation:
    (1) The name, address, and license
        number of the reporting licensee;
    (2) The name of the individual pre-
        paring the report;
    (3) The waste manifest number;
    (4) The container identification with
        the nationally tracked source.
    (5) The date of disposal; and
    (6) The method of disposal.
(f) The reports discussed in para-
    graphs (a) through (e) of this section
    must be submitted by the close of the
    next business day after the trans-
    action. A single report may be sub-
    mitted for multiple sources and trans-
    actions. The reports must be submitted
    to the National Source Tracking Sys-
    tem by using:
    (1) The on-line National Source
        Tracking System;
    (2) Electronically using a computer-
        readable format;
    (3) By facsimile;
(4) By mail to the address on the National Source Tracking Transaction Report Form (NRC Form 748); or
(5) By telephone with followup by facsimile or mail.

(g) Each licensee shall correct any error in previously filed reports or file a new report for any missed transaction within 5 business days of the discovery of the error or missed transaction. Such errors may be detected by a variety of methods such as administrative reviews or by physical inventories required by regulation. In addition, each licensee shall reconcile the inventory of nationally tracked sources possessed by the licensee against that licensee’s data in the National Source Tracking System. The reconciliation must be conducted during the month of January in each year. The reconciliation process must include resolving any discrepancies between the National Source Tracking System and the actual inventory by filing the reports identified by paragraphs (a) through (e) of this section. By January 31 of each year, each licensee must submit to the National Source Tracking System confirmation that the data in the National Source Tracking System is correct.

(h) Each licensee that possesses Category 1 nationally tracked sources shall report its initial inventory of Category 1 nationally tracked sources to the National Source Tracking System by January 31, 2009. Each licensee that possesses Category 2 nationally tracked sources shall report its initial inventory of Category 2 nationally tracked sources to the National Source Tracking System by January 31, 2009.

§ 20.2401 Violations.

(a) The Commission may obtain an injunction or other court order to prevent a violation of the provisions of—
(1) The Atomic Energy Act of 1954, as amended;
(2) Title II of the Energy Reorganization Act of 1974, as amended; or
(3) A regulation or order issued pursuant to those Acts.

(b) The Commission may obtain a court order for the payment of a civil penalty imposed under section 234 of the Atomic Energy Act:
(1) For violations of—
(i) Sections 33, 57, 62, 63, 81, 82, 101, 103, 104, 107 or 109 of the Atomic Energy Act of 1954, as amended;
(ii) Section 206 of the Energy Reorganization Act;
(iii) Any rule, regulation, or order issued pursuant to the sections specified in paragraph (b)(1)(i) of this section; and

Subpart O—Enforcement

§ 20.2401 Violations.

(a) The Commission may obtain an injunction or other court order to prevent a violation of the provisions of—
(1) The Atomic Energy Act of 1954, as amended;
(2) Title II of the Energy Reorganization Act of 1974, as amended; or
(3) A regulation or order issued pursuant to those Acts.

(b) The Commission may obtain a court order for the payment of a civil penalty imposed under section 234 of the Atomic Energy Act:
(1) For violations of—
(i) Sections 33, 57, 62, 63, 81, 82, 101, 103, 104, 107 or 109 of the Atomic Energy Act of 1954, as amended;
(ii) Section 206 of the Energy Reorganization Act;
(iii) Any rule, regulation, or order issued pursuant to the sections specified in paragraph (b)(1)(i) of this section; and

Subpart N—Exemptions and Additional Requirements

SOURCE: 56 FR 23408, May 21, 1991, unless otherwise noted.