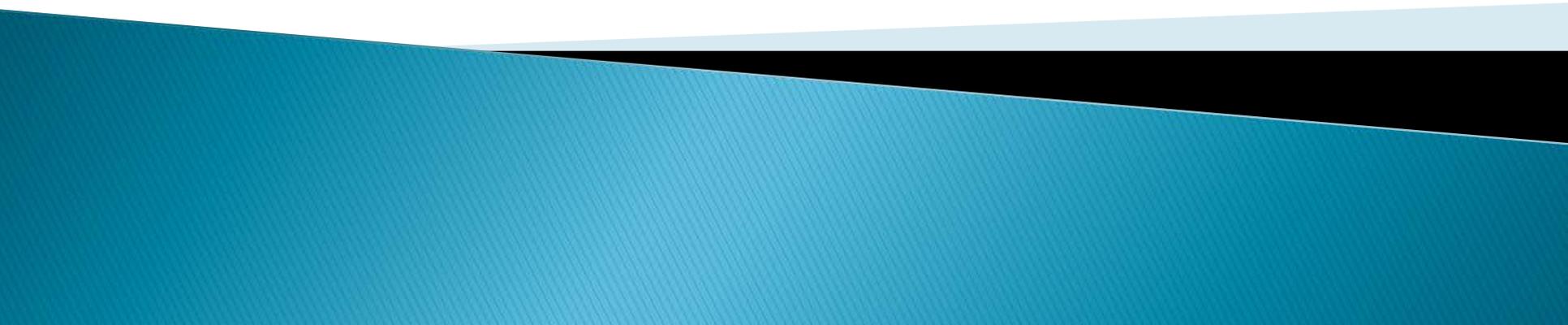


**Serious Deficiency Process
for
Day Care Home Sponsors**



Serious Deficiencies

FY 2012 – FY 2014

- ▶ FY 2012 15 serious deficiencies
- ▶ FY 2013 8 serious deficiencies
- ▶ FY 2014 6 serious deficiencies

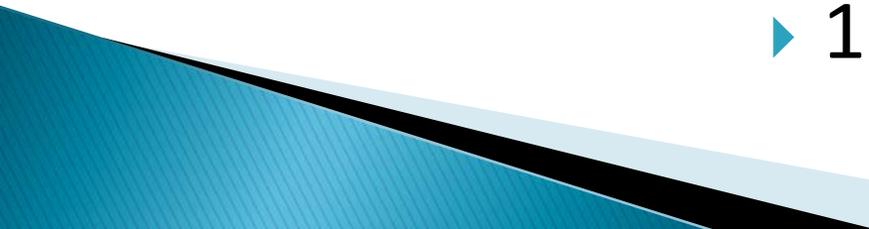
FY 2012

- ▶ 6 disqualified
- ▶ 9 deferred

FY 2013

- ▶ 4 disqualified
- ▶ 4 deferred

FY 2014

- ▶ 5 disqualified
 - ▶ 1 deferred
- 

Observation at USDA Management Evaluation

- ▶ Provider received an NSD for submission of false claims for reimbursement.
 - ▶ Claiming meals for participants not present on a given day for a particular meal was checked.
 - ▶ Inflating meal counts was checked.
- 

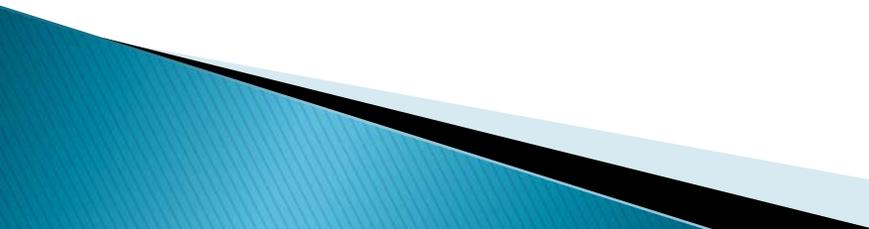
Corrective Action Plan

- ▶ “I will enter meals and attendance by the end of each week to ensure that only correct meals and children are claimed.”
 - ▶ Sponsor approved the corrective action plan.
 - ▶ Serious Deficiency was temporarily deferred.
- 

New Process for NSDs

CCFP Headquarters will be sending a monthly e-mail reminder to all DCH Sponsors regarding Notices of Serious Deficiencies.

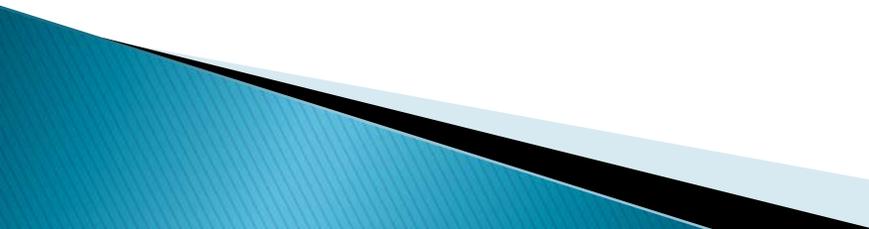
New Process for NSDs

- ▶ If a DCH sponsor is considering writing a Notice of Serious Deficiency (NSD), the sponsor needs to call CCFP HQ before writing the NSD.
 - ▶ If a DCH sponsor needs to write an NSD at a review, the sponsor needs to notify CCFP HQ within 48 hours that an NSD has been written and send documentation to Tallahassee.
- 

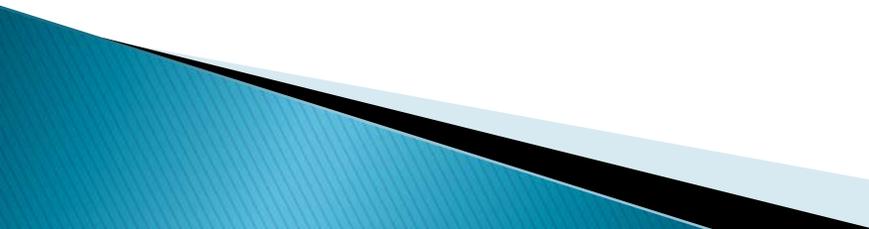
DCH Sponsor and CCFP HQ working together

- ▶ Lutheran Services Florida, Inc.
 - ▶ Childhood Development Services, Inc.
 - ▶ The House Next Door, Inc.
 - ▶ Family Central
- 

Family Central

- ▶ Sponsor called CCFP **HQ** regarding an NSD
 - ▶ **HQ** sent copy of template letter (#1) to Family Central.
 - ▶ Family Central sent letter to provider and **HQ**.
 - ▶ Family Central received CAP and sent copy of CAP to **HQ**.
- 

Family Central

- ▶ **HQ** agreed CAP could be approved as is and sent the CAP approval template letter (#2B) to Family Central.
 - ▶ Family Central sent CAP approval letter to provider and **HQ**.
 - ▶ Family Central did a Follow Up (within 30 days) to ensure Corrective Action Plan was in place.
- 

Family Central

- ▶ Family Central sent Follow Up to **HQ**.
- ▶ **HQ** sent final deferred SD template letter (#5A) to Family Central.
- ▶ Family Central sent final deferred SD letter to provider and **HQ** which states that the SD must stay fully and permanently corrected.

NOTE

- ▶ Documentation should be sent immediately to **HQ**; do not wait on return of green cards to send documentation.
 - ▶ Green cards can be sent after received.
- 

Any questions?

