

**THIRD OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

SOUTH BAY CORRECTIONAL FACILITY

for the

Physical and Mental Health Survey
Conducted June 18-19, 2014

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CAP Assessment of South Bay Correctional Facility

I. Overview

On June 18-19, 2014, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of South Bay Correctional Facility (SBCF). The survey report was distributed on July 2, 2014. In August of 2014, SBCF submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the June 2014 survey. These efforts included in-service training and the monitoring of applicable medical records for a period of no less than ninety days.

On November 7, 2014, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on December 15, 2014 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 10 of 10 physical health findings and 3 of 4 mental health findings were corrected.

On March 10, 2015 the CMA again requested access to monitoring documents. Based on the documents provided, CMA staff conducted an off-site CAP assessment on March 26, 2015 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure file revealed that that 0 of 1 mental health findings were corrected.

On July 8, 2015, the CMA again requested access to monitoring documents. Based on the documents provided, CMA staff conducted an off-site CAP assessment on July 27, 2015 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

II. Physical Health Assessment Summary

All physical health findings closed during the first CAP assessment.

III. Mental Health Assessment Summary

The CAP closure files revealed evidence to determine that 0 of 1 mental health findings were corrected. One mental health finding will remain open.

Finding	CAP Evaluation Outcome
<p><u>OUTPATIENT MENTAL HEALTH SERVICES</u></p> <p>MH-3: In 5 of 12 applicable records (15 reviewed), current psychotropic medications prescribed at the sending institution were not continued prior to the inmate's appointment with the psychiatrist at SBCF.</p>	<p>MH-3 OPEN</p> <p>Adequate evidence of in-service training was provided; however after review of the documentation provided from the medical record, it was determined that an acceptable level of compliance had not been reached. MH-3 will remain open.</p>

IV. Conclusion

All physical health findings are closed. MH-3 will remain open. Until such time as appropriate corrective actions are undertaken by SBCF staff and the results of those corrections are reviewed by the CMA, this CAP will remain open. As some of the necessary steps to correct findings require further institutional monitoring, closure may take as long as three months. Follow-up assessment by the CMA will most likely take place through an off-site visit, but the option remains open to conduct an on-site evaluation.