

**FOURTH OFF-SITE CORRECTIVE ACTION PLAN  
ASSESSMENT**

of

**LANCASTER CORRECTIONAL INSTITUTION**

for the

Physical and Mental Health Survey  
Conducted May 9-11, 2017

**CMA STAFF**

Lynne Babchuck, LCSW

CAP Assessment Distributed on December 14, 2018

## **CAP Assessment of Lancaster Correctional Institution**

### **I. Overview**

On May 9-11, 2017, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Lancaster Correctional Institution (LANCI). The survey report was distributed on June 5, 2017. In July 2017, LANCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the May 2017 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than 90 days.

On November 19, 2017, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an on-site CAP assessment on November 30, 2017 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 9 of 12 physical health findings and 2 of 3 mental health findings were corrected.

On February 26, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on March 29, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure files revealed sufficient evidence to determine that 2 of 3 physical health findings and 0 of 1 mental health findings were corrected.

On June 20, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on July 26, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. The CAP closure items revealed sufficient evidence to determine that 1 of 1 physical health findings and 0 of 1 mental health findings were corrected.

On November 9, 2018, CMA staff requested access to monitoring documents to assist in determining if an on-site or off-site assessment should be conducted. Based on the documents provided, CMA staff conducted an off-site CAP assessment on December 10, 2018 to evaluate the effectiveness of corrective actions taken by institutional staff. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

### **II. Physical Health Assessment Summary**

All physical health findings are closed.

### III. Mental Health Assessment Summary

The CAP closure files revealed evidence to determine that 1 of 1 mental health findings were corrected. All mental health findings are closed.

Finding	CAP Evaluation Outcome
<p><b><u>SELF-HARM OBSERVATION STATUS (SHOS)</u></b></p> <p><b>MH-1: The guidelines for SHOS management were not observed.</b></p>	<p><b>MH-1 CLOSED</b></p> <p>Adequate evidence of in-service training and documentation of correction were provided to close MH-1.</p>

### IV. Conclusion

All findings as a result of the June 2017 survey are closed and no further action is required. The CMA appreciates the efforts to improve services and documentation at this institution and continues to encourage ongoing quality improvement activities to ensure that the proper provision of health care services is maintained.