

**FOURTH OFF-SITE CORRECTIVE ACTION PLAN
ASSESSMENT**

of

SANTA ROSA CORRECTIONAL INSTITUTION

for the

Physical and Mental Health Survey
Conducted September 25-26, 2013

CMA STAFF

Jane Holmes-Cain, LCSW
Lynne Babchuck, LCSW

CAP Assessment Distributed on May 22, 2015

CAP Assessment of Santa Rosa Correctional Institution

I. Overview

On September 25-26, 2013, the Correctional Medical Authority (CMA) conducted an on-site physical and mental health survey of Santa Rosa Correctional Institution (SARCI). The survey report was distributed on October 21, 2013. In December of 2013, SARCI submitted and the CMA approved, the institutional corrective action plan (CAP) which outlined the efforts to be undertaken to address the findings of the September 2013 survey. These efforts included in-service training, physical plant improvements, and the monitoring of applicable medical records for a period of no less than ninety days. On April 30 - May 1, 2014, CMA staff conducted an on-site CAP assessment to evaluate the effectiveness of corrective actions taken by institutional staff to address the findings of the September 2013 survey. The CAP closure files revealed sufficient evidence to determine that on the Main Unit, 10 of 10 physical health findings and 5 of 9 mental health findings were corrected. Additionally, the CAP closure files revealed sufficient evidence to determine that 32 of 38 physical health findings and 10 of 19 mental health findings were corrected on the Annex. On October 9, 2014 CMA staff conducted a second on-site CAP assessment to evaluate the effectiveness of corrective actions taken by institutional staff to address the remaining findings. The CAP closure files revealed sufficient evidence to determine that on the Main Unit, 4 of 4 mental health findings were corrected. Additionally, the CAP closure files revealed sufficient evidence to determine that 6 of 6 physical health findings and 6 of 9 mental health findings were corrected on the Annex. On February 9, 2015 the CMA conducted an off-site assessment to evaluate the effectiveness of corrective actions taken by institutional staff to address the remaining findings. The CAP closure files revealed sufficient evidence to determine that 2 of 3 mental health findings on the Annex were corrected. On May 22, 2015 the CMA conducted an off-site assessment of the remaining finding. Items II and III below describe the outcome of the CMA's evaluation of the institution's efforts to address the survey findings.

II. Physical Health Assessment Summary

A. Main Unit

All physical health findings were closed during the first on-site CAP assessment.

B. Annex

All physical health findings were closed during the second on-site CAP assessment.

III. Mental Health Assessment Summary

A. Main Unit

All mental health findings were closed during the second on-site CAP assessment.

B. Annex

The CAP closure files revealed sufficient evidence to determine that the remaining mental health finding was corrected. All mental health findings are now closed.

Finding	CAP Evaluation Outcome
<p><u>INPATIENT PSYCHIATRIC MEDICATIONS RECORD REVIEW</u></p> <p>MH-5(b): In 6 of 17 inpatient records reviewed, the physician's orders were not signed, dated, and/or timed.</p>	<p>MH-5(b) CLOSED</p> <p>Adequate evidence of in-service training and documentation of correction were provided to close MH-5(b).</p>

IV. Conclusion

All physical and mental health findings are closed. All outstanding issues related to the CMA survey of SARCI are adequately resolved and no further action is required.